

MP-503

June - Examination 2019

**Master of Business Administration - II Year
Examination****Capital Market and SEBI Regulations****Paper - MP-503****Time : 3 Hours]****[Max. Marks :- 80**

Note: The question paper is divided into three sections A, B and C. Write answers as per the given instructions.

Section - A**8 × 2 = 16**

(Very Short Answer Questions)

Note: Answer **all** questions. As per the nature of the question delimit your answer in one word, one sentence or maximum up to 30 words. Each question carries 2 marks.

- 1) (i) What is IOSCO?
- (ii) What is share?
- (iii) Name any 4 instruments of the money market.
- (iv) Explain the meaning of underwriting in brief.
- (v) What is Green Shoe option?
- (vi) What are depositories?

(vii) What do you mean by carry forward transactions?

(viii) What is GTC order?

Section - B

4 × 8 = 32

(Short Answer Questions)

Note: Answer **any four** questions. Each answer should not exceed 200 words. Each question carries 8 marks.

- 2) What do you mean by the term 'Order' in stock exchange? Explain the various types of orders with suitable example.
- 3) Discuss in detail 'New Issue Market'.
- 4) Distinguish between Money Market and Capital market.
- 5) Explain the functions of stock exchange.
- 6) What is Depository system? Discuss the important features of depository system in India.
- 7) Explain the classification of Indian financial system.
- 8) Discuss the participants of trading, clearing and settlement process in detail.
- 9) Enumerate the different types of G - securities.

Section - C**2 × 16 = 32**

(Long Answer Questions)

Note: Answer **any two** questions. You have to delimit your each answer maximum up to 500 words. Each question carries 16 marks.

- 10) Define equity shares. Explain the features of equity shares. How equity shares are different from preference shares.
 - 11) Explain the objectives of depositories Act 1996. Discuss the penalties described in this act.
 - 12) What is rolling settlement? Who are clearing members? Write the functions and types of clearing members.
 - 13) What are the prime objectives of SEBI? Discuss the power and functions of SEBI.
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