

## MP-503

June - Examination 2017

### Master of Business Administration - II Year Examination

### Capital Market and SEBI Regulations

### Paper - MP-503

Time : 3 Hours ]

[ Max. Marks :- 80

**Note:** Answer the questions as per instructions given in section A, B and C.

#### Section - A

8 × 2 = 16

(Very Short Answer Type Questions)

**Note:** Answer **all** questions. As per the nature of the question you delimit your answer in one sentence upto 30 words.

- 1) (i) Define 'Primary Market'.
- (ii) Give two features of 'Equity shares'.
- (iii) Who are 'Jobbers'?
- (iv) What is Online Share Trading?
- (v) Define 'Hedging'.
- (vi) What is Basket Trading?
- (vii) Give the full form of CDSL.
- (viii) Who is 'Custodian'?

**Section - B****4 × 8 = 32**

(Short Answer Questions)

**Note:** Answer **any four** questions. Each answer should not exceed 200 words.

- 2) Explain the role of securities market in economic growth.
- 3) Which are the principal steps in public issue?
- 4) What is the role of SEBI in a stock exchange?
- 5) Enumerate the essential and benefits of online trading system.
- 6) What is the importance of activity log?
- 7) Discuss the circuit breakers.
- 8) Briefly outline the concept of Depository System in India.
- 9) What are the prime objectives of SEBI?

**Section - C****2 × 16 = 32**

(Long Answer Questions)

**Note:** Answer **any two** questions. Each answer should not exceed 500 words.

- 10) Explain the procedure for Appeal to the Securities Appellate Tribunal.
- 11) What is SCORES? Briefly discuss the salient features of SCORES.
- 12) Briefly explain the role and responsibilities of a Qualified Depository Participant.
- 13) Discuss characteristics and various functions of a stock exchange.