

MP-503

June - Examination 2016

Master of Business Administration - II Year Examination**Capital Market and SEBI Regulations****Paper - MP-503****Time : 3 Hours]****[Max. Marks :- 80**

Note: The question paper is divided into three sections A, B and C. Write answers as per given instructions.

Section - A**8 × 2 = 16**

(Very Short Answer Type Questions)

Note: Answer **all eight** questions. As per the nature of the questions you delimit your answer in one sentence upto 30 words. Each question carries equal marks.

- 1) (i) What is Red horsing prospectus?
- (ii) Give the full form of ASAB.
- (iii) What are treasury bill?
- (iv) What do you mean by stock exchange?
- (v) Name the model of depository.
- (vi) Give the full form of CDSL.
- (vii) Give two prime objectives of SEBI.
- (viii) What are circuit breakers.

Section - B**4 × 8 = 32**

(Short Answer Type Questions)

Note: Answer **any four** questions. Each answer should not exceed 200 words. Each question carries 8 marks.

- 2) What are the functions of stock exchanges?
- 3) Critically examine the advantages and disadvantages of raising funds by issuing shares of different types.
- 4) Enumerate the essentials and benefits of online trading system.
- 5) Briefly explain the role and responsibilities of a qualified depository participant.
- 6) Discuss in detail the transaction cycle to execute buying and selling of securities.
- 7) Discuss the various power and functions of the SEBI.
- 8) Outline the various statutory measures initiated by MCA for investor protection.
- 9) Discuss the circuit breakers.

Section - C**2 × 16 = 32**

(Long Answer Type Questions)

Note: Answer **any two** questions. Each answer should not exceed 500 words. Each question carries 16 marks.

- 10) Discuss in detail the organisation, growth and weakness of stock exchanges in India.
- 11) How does electronic and paperless trading takes place in exchange. Give in detail by suitable example.
- 12) What do you mean by Rolling settlement? Discuss the major factor of bifurcation between the account period settlement and rolling settlement.
- 13) Write short note on:
 - (i) Dematerialisation charges
 - (ii) Internal audit of depository participants
