

MP-503

June – Examination 2024

**Master of Business Administration
(IInd Year) Examination**

**CAPITAL MARKET AND SEBI
REGULATIONS**

Paper : MP-503

Time : 3 Hours]

[Maximum Marks : 80

Note :- The question paper is divided into three Sections A, B and C. Write answers as per the given instructions.

Section-A

8×2=16

(Very Short Answer Type Questions)

Note :- Answer all questions. As per the nature of the question delimit your answer in one word, one sentence or maximum up to **30** words. Each question carries 2 marks.

1. (i) Securities market.
- (ii) Underwriting.
- (iii) Government Securities.
- (iv) *Two* objectives of ISE.
- (v) *Two* benefits of online share trading.
- (vi) Basket Trading.
- (vii) What is Auction ?
- (viii) Concurrent Audit.

Section-B **4×8=32**

(Short Answer Type Questions)

Note :- Answer any *four* questions. Each answer should not exceed **200** words. Each question carries 8 marks.

2. Enumerate the key features of depository system in India.
3. Explain the functions of New Issue Market.
4. Debentures occupy a very important place in the financial plan. Discuss.
5. What do you mean by Stock Exchange ?

6. Discuss the trading mechanism of Indian exchange.
7. Describe all the windows of the NEAT screen.
8. Discuss the circuit breakers.
9. Explain the concept of depository systems in India.

Section-C **2×16=32**

(Long Answer Type Questions)

Note :- Answer any *two* questions. You have to delimit your each answer maximum up to **500** words. Each question carries 16 marks.

10. Describe the pre-issue management and post-issue management.
11. Describe trading and process of trading cycle in the Indian security market.
12. Explain the power of depositories to make bye-laws under the Depositories Act, 1996.
13. Discuss the various powers of the Central Government under SEBI Act, 1992.