

11. What do you mean by Secondary Market ? How is it different from Primary Market ?
12. Describe some of the major stock exchanges in India. How is OTCEI different from other stock exchanges ?
13. Explain the investor's rights and responsibilities as an individual shareholder and as a group.

## **MP-503**

**June – Examination 2023**

### **Master of Business Administration (IInd Year) Examination**

**CAPITAL MARKET AND SEBI  
REGULATIONS**

**Paper : MP-503**

*Time : 3 Hours ]*

*[ Maximum Marks : 80*

*Note* :- The question paper is divided into three Sections A, B and C. Write answers as per the given instructions.

**Section-A**

**8×2=16**

**(Very Short Answer Type Questions)**

*Note* :- Answer all questions. As per the nature of the question delimit your answer in one word, one sentence or maximum up to **30** words. Each question carries 2 marks.

1. (i) What do you mean by Capital Market ?

- (ii) What is NSDL ?
- (iii) What do you mean by Government Securities ?
- (iv) What do you mean by Trading Cycle ?
- (v) What is Delegation of Power ?
- (vi) What do you mean by Insider Trading ?
- (vii) What do you mean by IRDA ?
- (viii) What do you mean by Redeemable Debentures ?

**Section–B** **4×8=32**

**(Short Answer Type Questions)**

*Note* :- Answer any *four* questions. Each answer should not exceed **200** words. Each question carries 8 marks.

- 2. Explain the key feature of the Depository System.
- 3. Discuss in detail 'New Issue Market'. Explain the functions of New Issue Market.
- 4. What do you mean by Equity Shares ? Explain the features of equity shares.

- 5. What do you understand by the order types and the various conditions attached to it ? Explain in detail about order modification.
- 6. Explain the role of SEBI in strengthening regulatory framework and fostering investor confidence.
- 7. Explain the procedure for appeal to the Securities Appellate Tribunal.
- 8. What is SCORES ? Briefly discuss the salient features of SCORES.
- 9. State the provisions for promoter's capital norms as laid down in the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009.

**Section–C** **2×16=32**

**(Long Answer Type Questions)**

*Note* :- Answer any *two* questions. You have to delimit your each answer maximum up to **500** words. Each question carries 16 marks.

- 10. Explain the role of securities market in economic growth.