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MP-503

June – Examination 2020

**Master of Business Administration
(IInd Year) Examination**

Capital Market and SEBI Regulations

Paper : MP-503

Time : 3 Hours]

[Maximum Marks : 80

Note :- The question paper is divided into three Sections A, B and C. Write answers as per the given instructions.

Section–A

8×2=16

(Very Short Answer Type Questions)

Note :- Answer all questions. As per the nature of the question delimit your answer in one word, one sentence or maximum upto **30** words. Each question carries 2 marks.

1. (i) Define Capital Market.

(ii) Write characteristics of Government Securities.

11. What are the stock exchange ? Describe some of the major stock exchanges in India. How is OTCEI different from other stock exchange ? Discuss the procedure followed at the various stock exchanges for making trade.

12. What are Shares ? Critically examine the advantages and disadvantages of raising funds by issuing shares of different types. How share capital is different from debt capital ?

13. Write notes on the following :

- (a) Financial literacy in India
- (b) Investor protection in India
- (c) Outline trading
- (d) Depositories in India

- (iii) Discuss the features of primary market.
- (iv) Write any *two* functions of stock exchange.
- (v) What is listing of Securities ?
- (vi) What do you mean by DEMAT Account ?
- (vii) Write the names of any *two* stock exchanges of India.
- (viii) Write the meaning of NEAT system.

Section-B **4×8=32**

(Short Answer Type Questions)

Note :- Answer any *four* questions. Answer should not exceed **200** words. Each question carries 8 marks.

- 2. What are Debentures ? Discuss the features of debentures.
- 3. Distinguish between capital market and money market.
- 4. What are Stock Exchanges ? Write the various functions of stock exchange.
- 5. Write the guidelines issued by SEBI to issue Bonus Shares.

- 6. What are the eligibility norms for membership in a stock exchange in India ? Discuss the various rights of the members.
- 7. What is RETDEBI ? What are the risks associated with it ? Discuss the various steps of order management in RETDEBT market.
- 8. What do you mean by Depository System ? Discuss its mechanism in detail.
- 9. Outline the various statutory measures initiated by MCA for investor protection.

Section-C **2×16=32**

(Long Answer Type Questions)

Note :- Answer any *two* questions. You have to delimit each answer maximum upto **500** words. Each question carries 16 marks.

- 10. Discuss the meaning and process of trading cycle in the Indian Security Market. Enumerate the essentials and benefits of outline trading system.