

**MP-503**

**December - Examination 2025**

**Master of Business Administration (II Year) Examination**

**CAPITAL MARKET AND SEBI REGULATIONS**

**Paper : MP-503**

[Time: 3 Hours]

[Maximum Marks: 80]

**Note :-** The question paper is divided into three Sections A, B and C. Write answers as per the given instructions.

**Section-A**

**8×2=16**

**(Very Short Answer Type Questions)**

**Note :-** Answer **all** questions. As per the nature of the question delimit your answer in one word, one sentence or maximum up to **30** words. Each question carries **2** marks.

1. (i) What is Primary Market?
- (ii) Write any two key features of depository system in India.
- (iii) What do you mean by T-Bills?
- (iv) What do you mean by Trading Cycle?
- (v) What is Hedging?
- (vi) What do you mean by lot in Trading?
- (vii) What do you mean by Stop Loss (SL) Orders?
- (viii) What is Securities Appellate Tribunal?

**Section-B**

**4×8=32**

**(Short Answer Type Questions)**

**Note :-** Answer **any four** questions. Each answer should not exceed **200** words. Each question carries **8** marks.

2. Explain the functions of New Issue Market.
3. What do you mean by Secondary Market? Describe in detail the pre-issue management.
4. Explain the investor's rights and responsibilities as an individual shareholder and as a group.
5. How the trading mechanisms of Government securities in India work? Discuss the merits and demerits of Government securities.
6. Discuss in detail the transaction cycle to execute buying and selling of securities.
7. What is NSDL? Explain services offered by NSDL.
8. Who are eligible to make a request under SEBI (Informal Guidance) Scheme, 2003? Explain.
9. What are the prime objectives of SEBI? Also, discuss the initiatives for investor protection taken by SEBI.

**Section-C**

**2×16=32**

**(Long Answer Type Questions)**

**Note :-** Answer **any two** questions. You have to delimit your each answer maximum up to **500** words. Each question carries **16** marks.

10. “Debentures occupy a very important place in the financial plan”. Discuss the statement and point out the limitations of debentures financing.
11. What do you mean by Rolling Settlement? Discuss the major factor of bifurcation between the account period settlement and rolling settlement.
12. What are supplementary functions? What do you understand from the order types & various conditions attached to it?
13. What is the procedure followed at the various stock exchanges for making purchase and sales? Explain.

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