

11. What do you mean by Rolling Settlement ? Explain its process and recent initiatives.
12. “Equity plays a major role in financial system”. Discuss the statement and point out the advantages and disadvantages.
13. What different forms of securities can public limited company issue ? Discuss their significance in detail in relation to the financial structure of a company.

MP-503

December – Examination 2023

Master of Business Administration (IInd Year) Examination

**CAPITAL MARKET AND SEBI
REGULATIONS**

Paper : MP-503

Time : 3 Hours]

[Maximum Marks : 80

Note :- The question paper is divided into three Sections A, B and C. Write answers as per the given instructions.

Section-A

8×2=16

(Very Short Answer Type Questions)

Note :- Answer all questions. As per the nature of the question delimit your answer in one word, one sentence or maximum up to **30** words. Each question carries 2 marks.

1. (i) Write any *two* key features of depository system in India.
- (ii) What do you mean by Government Securities ?
- (iii) What is a stock exchange ?
- (iv) What do you mean by trading cycle ?
- (v) What is Hedging ?
- (vi) What do you mean by Stop Loss (SL) Orders ?
- (vii) What is Dematerialisation Charges ?
- (viii) What is Securities Appellate Tribunal ?

Section-B

4×8=32

(Short Answer Type Questions)

Note :- Answer any *four* questions. Each answer should not exceed **200** words. Each question carries 8 marks.

2. What are the different methods of floating the new issues in market ? Explain.
3. What do you mean by Secondary Market ? Explain the functions of secondary market.

4. Describe clearing and settlement process of equity trading in Indian stock exchange.
5. Explain the role of SEBI in economic growth of India.
6. Explain the investor's rights and responsibilities as an individual shareholder and as a group.
7. What is NSDL ? Explain services offered by NSDL.
8. What do you understand from the order types and various conditions attached to it ?
9. Outline the various statutory measures initiated for investor protection.

Section-C

2×16=32

(Long Answer Type Questions)

Note :- Answer any *two* questions. You have to delimit your each answer maximum up to **500** words. Each question carries 16 marks.

10. Briefly discuss the evolution, growth and functions of financial system in India.