

11. Critically examine the advantages and disadvantages of raising funds by issuing shares of different types.
12. What do you mean by a Stock Exchange ? Discuss its various characteristics and various functions of a stock exchange.
13. What do you mean by Rolling Settlement ? Discuss the major factor of bifurcation between the account period settlement and rolling settlement.

MP-503

December – Examination 2022
Master of Business Administration
(IInd Year) Examination
Capital Market and SEBI Regulations
Paper : MP-503

Time : 3 Hours]

[Maximum Marks : 80

Note :- The question paper is divided into three Sections A, B and C. Write answers as per the given instructions.

Section-A

8×2=16

(Very Short Answer Type Questions)

Note :- Answer all questions. As per the nature of the question delimit your answer in one word, one sentence or maximum up to **30** words. Each question carries 2 marks.

1. (i) What do you mean by Money Market ?
- (ii) What is CDSL ?

- (iii) What do you mean by Treasury Bills ?
- (iv) What is Margin Trading ?
- (v) What is Derivatives Trading ?
- (vi) What is NSCCL ?
- (vii) What do you mean by Investigation Procedure ?
- (viii) What do you mean by Convertible and Non-convertible preference shares ?

Section-B **4×8=32**

(Short Answer Type Questions)

Note :- Answer any *four* questions. Each answer should not exceed **200** words. Each question carries 8 marks.

2. What are the different methods of floating the new issues in market ? Explain.
3. “Debentures occupy a very important place in the financial plan.” Discuss the statement and point out the limitations of debentures financing.
4. Describe clearing and settlement process of equity trading in Indian stock exchange.
5. What is Basket Trading ? Describe all the windows of the NEAT screen.

6. Give an overview of the rights and obligations of Depositories, Participants and issuers under SEBI (Depositories and Participants) Regulations, 1996.
7. Write short notes on the following :
 - (i) Models of Depository
 - (ii) Internal Audit of Depository Participants
8. What are the prime objectives of SEBI ? Discuss the various powers and functions of the SEBI.
9. What different forms of securities can public limited company issue ? Discuss their significance in detail in relation to the financial structure of a company.

Section-C **2×16=32**

(Long Answer Type Questions)

Note :- Answer any *two* questions. You have to delimit your each answer maximum up to **500** words. Each question carries 16 marks.

10. Briefly discuss the evolution, growth and functions of financial system in India.