

MP-503

December – Examination 2021

Master of Business Administration (IInd Year) Examination

Capital Market and SEBI Regulations

Paper : MP-503

Time : 1½ Hours]

[Maximum Marks : 80

Note :- The question paper is divided into two Sections A and B. Write answers as per the given instructions.

Section-A

4×4=16

(Very Short Answer Type Questions)

Note :- Answer any *four* questions. As per the nature of the question delimit your answer in one word, one sentence or maximum up to **30** words. Each question carries 4 marks.

1. (i) Write any *two* key features of depository system in India ?
- (ii) What do you mean by Government Securities ?
- (iii) What is a Stock Exchange ?
- (iv) What do you mean by Trading Cycle ?
- (v) What is Hedging ?
- (vi) What do you mean by Stop Loss (SL) Orders ?
- (vii) What is Dematerialisation Charges ?
- (viii) What is Securities Appellate Tribunal ?

Section-B **4×16=64**

(Short Answer Type Questions)

Note :- Answer any *four* questions. Each answer should not exceed **200** words. Each question carries 16 marks.

2. Explain the functions of New Issue Market.
3. What do you mean by Secondary Market ?
Describe in detail the pre-issue management.

4. “Debentures occupy a very important place in the financial plan.” Discuss the statement and point out the limitations of debentures financing.
5. What is the procedure followed at the various stock exchanges for making purchases and sales ? Explain.
6. What do you mean by Rolling Settlement ? Discuss the major factor of bifurcation between the account period settlement and rolling settlement.
7. Explain the role of SEBI in strengthening regulatory framework and fostering investor confidence.
8. Explain the investor’s rights and responsibilities as an individual shareholder and as a group.
9. Name the two major depositories working in India and the services offered by them in the settlement of security trade.