

# **MP-503**

**December – Examination 2020**

## **Master of Business Administration (IInd Year) Examination**

**Capital Market and SEBI Regulations**

**Paper : MP-503**

*Time : 2 Hours ]*

*[ Maximum Marks : 80*

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*Note :-* The question paper is divided into two Sections A and B. Write answers as per the given instructions.

**Section-A**

**8×2=16**

**(Very Short Answer Type Questions)**

*Note :-* Answer all questions. As per the nature of the question delimit your answer in one word, one sentence or maximum up to **30** words. Each question carries 2 marks.

1. (i) What are Government Securities ?
- (ii) Write functions of SEBI.
- (iii) What is Stop Limit Price ?
- (iv) Who is Underwriter ?
- (v) What are Zero Coupon Bonds ?
- (vi) What is Money Laundering ?
- (vii) Write the participants of Depository System.
- (viii) What does the term 'NEAT' denotes ?

**Section-B** **4×16=64**

**(Short Answer Type Questions)**

**Note** :- Answer any *four* questions. Answer should not exceed **200** words. Each question carries 16 marks.

2. What are Equity Shares ? Write the various features of equity shares.
3. What is Primary Market ? Write the features and various tools of primary market.

4. Explain the procedure for recognition under the Securities Contract (Regulation) Rule, 1957.
5. What are Regular Lot Orders ? How are these different from special trade orders ? Explain.
6. Explain the norms of establishment of incorporation and management of the Board under SEBI Act, 1992.
7. If a person wants to become a Stock Broker under SEBI, what is the procedure one should follow for registration ? Explain.
8. Explain the concept of prohibition of fraudulent and unfair trade practices under SEBI Regulation Act, 2003. Explain with suitable examples.
9. What is NEAT ? Describe any *four* windows of the NEAT screen in detail.