

**MP-503**

December - Examination 2019

**Master of Business Administration - II Year  
Examination****Capital Market and SEBI Regulations****Paper - MP-503****Time : 3 Hours ]****[ Max. Marks :- 80**

**Note:** The question paper is divided into three sections A, B and C. Write answers as per given instructions.

**Section - A****8 × 2 = 16****(Very Short Answer Questions)**

**Note:** Answer **all** questions. As per the nature of the question delimit your answer in one word, one sentence or maximum upto 30 words. Each question carries 2 marks.

1. i. Define Treasury Bills.
- ii. Write the features of capital market.
- iii. What is ESOP?
- iv. Write the participants of trading mechanism of securities market.
- v. What do you mean by carry forward transactions?
- vi. What do you understand by organized financial market?

- vii. Mention any two objectives of SEBI.
- viii. What is Sweat Equity?

**Section - B****4 × 8 = 32****(Short Answer Questions)**

**Note:** Answer **any four** questions. Each answer should not exceed 200 words. Each question carries 8 marks.

- 2. Write the various steps in public issue.
- 3. Distinguish between primary market and secondary market.
- 4. What are margins? Explain different types of margins.
- 5. Discuss the importance, management and objectives of NSE and BSE.
- 6. What do you mean by debentures? Explain the features of debentures.
- 7. What is online trading system? Write the essentials and benefits of online trading system.
- 8. What is NEAT? Explain about the market watch window and the information displayed in it to simplify users work.
- 9. Define RETDEBI. Write the features of RETDEBI.

**Section - C****2 × 16 = 32****(Long Answer Questions)**

**Note:** Answer **any two** questions. You have to delimit your each answer maximum upto 500 words. Each question carries 16 marks.

10. What do you mean by the term “Financial System”. Explain the classification of Indian financial system. Discuss the working mechanism of financial system with the help of diagram.
  11. What is depository system? Explain the features and benefits of depository system in India.
  12. Explain the legal frame work for investor protection in India.
  13.
    - i. Discuss the initiative on financial literacy in India.
    - ii. What are rights and responsibilities of an investor as a shareholder of a company.
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