

MP-503

December - Examination 2018

**Master of Business Administration -
II Year Examination****Capital Market and SEBI Regulations****Paper - MP-503****Time : 3 Hours]****[Max. Marks :- 80**

Note: The question paper is divided into three sections A, B and C. Write answers as per given instructions.

Section - A**8 × 2 = 16**

(Very Short Answer Questions)

Note: Answer **all** questions. As per the nature of the question delimit your answer in one word, one sentence or maximum upto 30 word. Each question carries 2 marks.

- 1) (i) What is a Debenture?
- (ii) Define NEAT.
- (iii) Name Government Securities.
- (iv) What is RETDEBT?
- (v) What is IPO?
- (vi) What is screen based trading?

(vii) What is Depository?

(viii) What is dematerialization of securities?

Section - B

4 × 8 = 32

(Short Answer Questions)

Note: Answer **any four** questions. Each answer should not exceed 200 words. Each question carries 8 marks.

- 2) Write a note on NSDL / CDSL.
- 3) What are sectoral indices? Write down some BSE sectoral indices.
- 4) Explain the functions of SEBI.
- 5) Write a note on Venture capital.
- 6) What is auction? How is it initiated?
- 7) Write a short note on NEAT system.
- 8) Write a short note on BSE SENSEX Index.
- 9) What do you understand by Deep Discount Bonds (DDB)? Explain.

Section - C**2 × 16 = 32**

(Long Answer Questions)

Note: Answer **any two** questions. You have to delimit your each answer maximum upto 500 words. Each question carries 16 marks.

- 10) Explain the enquiry, inspection and penalties under the Depositories Act, 1996.
 - 11) What are the various modes of issue of securities or raising capital from the market? Describe any two of them.
 - 12) What is prospectus? What are its contents? Discuss.
 - 13) How can you convert physical holding into electronic holding? Explain.
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