

**MP-503**

December - Examination 2016

**Master of Business Administration - II Year Examination****Capital Market and SEBI Regulations****Paper - MP-503****Time : 3 Hours ]****[ Max. Marks :- 80**

**Note:** Answer the questions as per directions in sections A, B and C.

**Section - A****8 × 2 = 16**

(Very Short Answer Type Questions)

**Note:** Answer **all** the questions. As per the nature of the questions you delimit your answer in one sentence upto 30 words.

- 1) (i) Define the term sub-broker.
- (ii) What is listing of securities?
- (iii) When was NSCCL incorporated?
- (iv) What are zero coupon debentures?
- (v) Define the term money-laundering.
- (vi) What is a DEMAT Account?
- (vii) Give the full form of NEAT.
- (viii) What is listing of securities?

**Section - B****4 × 8 = 32**

(Short Answer Questions)

**Note:** Answer **any four** questions. Each answer should not exceed 200 words.

- 2) Give the NSE guidelines for Circuit Breakers.
- 3) Discuss the types of debentures issued by the company.
- 4) Discuss money laundering.
- 5) Explain the functions of the SEBI.
- 6) Discuss the role of the clearing member of NSCCL.
- 7) Explain the salient features of Limited Physical Market.
- 8) Explain the organisational structure of stock exchanges in India.
- 9) Write a note on various types of members at the stock exchange.

**Section - C****2 × 16 = 32**

(Long Answer Type Questions)

**Note:** Answer **any two** questions. Each answer should not exceed 500 words.

- 10) Describe the various windows of the NEAT screen.
- 11) Write a detail note on types of government securities.
- 12) Analyse the margin requirement in the rolling settlement.
- 13) Define the term inter broking. Discuss its trading process in detail.