MP-503

December - Examination 2015

MBA IInd Year Examination

Capital Market and SEBI Regulations

Paper - MP-503

Time : 3 Hours]

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[Max. Marks :- 80

Note: The question paper is divided into three sections A, B and C. Write answers as per given instructions.

Section - A

 $8 \ge 2 = 16$

- **Note:** Answer **all** eight questions. As per the nature of the question delimit your answer in one word, one sentence or maximum upto 30 words. Each question carries equal marks.
- 1) (i) Define ESOP.
 - (ii) Give the full form of QIB.
 - (iii) What are Zero Coupon Bonds?
 - (iv) What is 'Rolling settlement'?
 - (v) What is basket trading?
 - (vi) Give the full form of NSDL.
 - (vii) Give two functions of SEBI
 - (viii) What is SCORES?

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Section - B

Note: Answer **any four** questions. Each answer should not exceed 200 words. Each question carries 8 marks.

- 2) Enumerate the key features of depository system in India.
- 3) Explain the function of New Issue Market.
- 4) What is the role of SEBI in a stock exchange? Explain.
- 5) Discuss the trading mechanism of Indian exchange.
- 6) Describe all the windows of the NEAT screen.
- 7) What is auction? How it is initiated? Discuss.
- 8) Briefly outline the concept of depository system in India.
- 9) Briefly discuss the salient features of SCORES.

- **Note:** Answer **any two** questions upto 500 words. Each question carries 16 marks.
- 10) What do you mean by secondary market? How it is different from primary market? Explain.
- 11) What do you mean by a Stock Exchange? Discuss its various characteristics and various functions of a stock exchange.
- 12) Explain the types of order books present in the trading system.
- 13) Briefly explain the role and responsibilities of a qualified depository participant.